

Legislative Appropriations Request

For Fiscal Years 2024 and 2025

Submitted to the
Governor's Office of Budget and Planning
and the Legislative Budget Board


By

The Executive Council of Physical Therapy and Occupational Therapy Examiners

<i>Council Member</i>	<i>Term Ending</i> February 1,	<i>Hometown</i>
Manoranjan "Mano" Mahadeva, Presiding Officer	2023	Frisco
Stephanie Johnston, OTR	2027	Magnolia
Eddie Jessie, OT Public Member	2025	Houston
Harvey Aikman, PT	2027	McAllen
Donivan Hodge, PT Public Member	2023	Spicewood

August 9, 2022

Submitted by: _____


Executive Director

Approved by: _____


Presiding Officer

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Presiding Officer

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Table of Contents

Administrator's Statement	1
Organization Chart	
Submission Certificate	
Summaries of Request	
A. Summary of Base Request by Strategy	2A
B. Summary of Base Request by Method of Finance	2B
C. Summary of Base Request by Object of Expense	2C
D. Summary of Base Request Objective Outcomes	2D
E. Summary of Exceptional Items Request	2E
F. Summary of Total Request by Strategy	2F
G. Summary of Total Request Objective Outcomes	2G
H. Budget Overview – Biennial Amounts	
Strategy Requests	3A
Program-Level Request Schedule	3A.1
A. Exceptional Items Request Schedule	4A
B. Exceptional Items Strategy Allocation Schedule	4B
C. Exceptional Item Strategy Request	4C
Supporting Schedules	
A. General Revenue & General Revenue Dedicated Baseline Report	
B. Historically Underutilized Business Supporting Schedule	6A
C. Estimated Revenue Collections Supporting Schedule	6E

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Administrator's Statement

8/9/2022 3:14:59PM

88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Executive Council Members:

Manoranjana "Mano" Mahadeva, Presiding Officer, Plano
Eddie Jessie, OT Board Public Member, Houston
Stephanie Johnston, OTR, Magnolia
Donivan Hodge, PT Board Public Member, Spicewood
Harvey Aikman, PT, McAllen

Council member terms end February 1, 2023

Agency Mission and Organization:

The mission of the Executive Council of Physical Therapy and Occupational Therapy Examiners (ECPTOTE) is to protect the health, safety, and welfare of the people of Texas through the regulation and enforcement of the practice of physical therapy and of occupational therapy.

ECPTOTE is an independent administrative governmental agency in which all staff employees directly support or carry out the functions of one or both boards. ECPTOTE staff is organized into three functional areas – administrative support, licensing, and investigations. The administrative staff supports the activities of the board members and other two staff groups in general, personnel, financial, and general administration and information technology services. The licensing staff responds to the needs of the physical therapy and occupational therapy licensee population they support. The investigation staff receives and investigates all complaints against the boards' licensees and works closely with the investigation committees of the two boards.

CAPPS Transition:

ECPTOTE is a full-service CAPPS agency; we deployed on HR/Payroll in FY 2019 and on Financials in FY 2022.

Exempt Positions:

Currently, the only exempt position is the Executive Director.

The executive director's maximum annual base salary is authorized in the General Appropriations Act. The maximum salary for the executive director is referred to as "not-to-exceed" rate. The "not-to-exceed" rate for the executive director is set too low. If for any reason the incumbent vacated the position, ECPTOTE may struggle to recruit and retain an Executive Director because of market competition. The Executive Director is classified as "exempt" and not eligible for the same types of salary increases as the employees they manage. Employees classified within the State's Position Classification Plan are eligible for salary increases, such as merit increases and one-time bonuses that do not exist for the executive director. The "not-to-exceed" rate has not kept pace with the cost of living and is below the market value. The "not-to-exceed" rate should be set at the maximum "Recommended Salary Range" recommended in the "Executive Compensation at State Agencies" report. This report is

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

administer by the State Auditor's Office. ECPTOTE is requesting the executive director "not-to-exceed" rate be increased to \$129,765.

2022-2023 Baseline Request:

The baseline request submitted reflects what is necessary to carry out the agency's statutory responsibilities in the most cost-effective manner. The agency's baseline request is \$1,303,164 per year for a biennium total of \$2,606,328.

EXCEPTIONAL ITEM REQUESTS (in priority order):

The ECPTOTE exceptional item requests relate to staff compensation, staffing, and I.T economy of scale.

1. (Staff Compensation)

The state's population is rapidly growing at a rate of 15.9%, which is more than double the national growth rate average of 7.4%. The cost of living in the Austin metro area has continued to increase significantly, with the city ranking 12th among all U.S. major metro areas for highest cost-of-living increase from 2010 to 2020-- a 17.8% increase. Housing costs rose by 20.7% during that same timeframe and apartment rents increased nearly 30% in 2021 alone.

2. (Enforcement Staffing)

Over the last two fiscal years, we have seen Jurisdictional Complaints increase 20% in Physical Therapy and 30% increase in Occupational Therapy. In Fiscal year 2020, ECPTOTE received 607 Physical Therapy Jurisdictional complaints and 358 Occupational Therapy jurisdictional complaints. In Fiscal year 2021, Physical Therapy received 807 Jurisdictional Complaints and Occupational Therapy received 472. The growth rate of Physical Therapist and Occupational Therapist population continues to increase about a 3% every year. Changes to State and Federal laws have also contributed to increased caseload in the enforcement department. The ECPTOTE is requesting an additional (1)FTE for an assistant Enforcement Director.

3. (IT Upgrade to HPC Regulatory Database System)

An individual contractor initially developed the current ECPTOTE licensing system in 2002 with an updated version implemented in 2019. The same contractor with no backup support has provided maintenance of the system. The contractor is now a full time employee of the Health Professions Council and the continued maintenance of the licensing system is through an MOU with the Health Professions Council. Transitioning to this database system, which is utilized by seven other agencies through the Health Professions Council, would provide the following:

Administrator's Statement

8/9/2022 3:14:59PM

88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

1. Customization and streamlining of all aspects of database in one centralized location.
2. Inclusion of support from both onsite HPC staff and vendor allowing for smoother workflow system .
3. System would reside in the cloud ensuring better continuity of operations framework for agency and more robust cybersecurity of data.
4. Inclusion of backend support and public facing interfaces.
5. Inclusion of automated transfers with Federation of State Boards of Physical Therapy

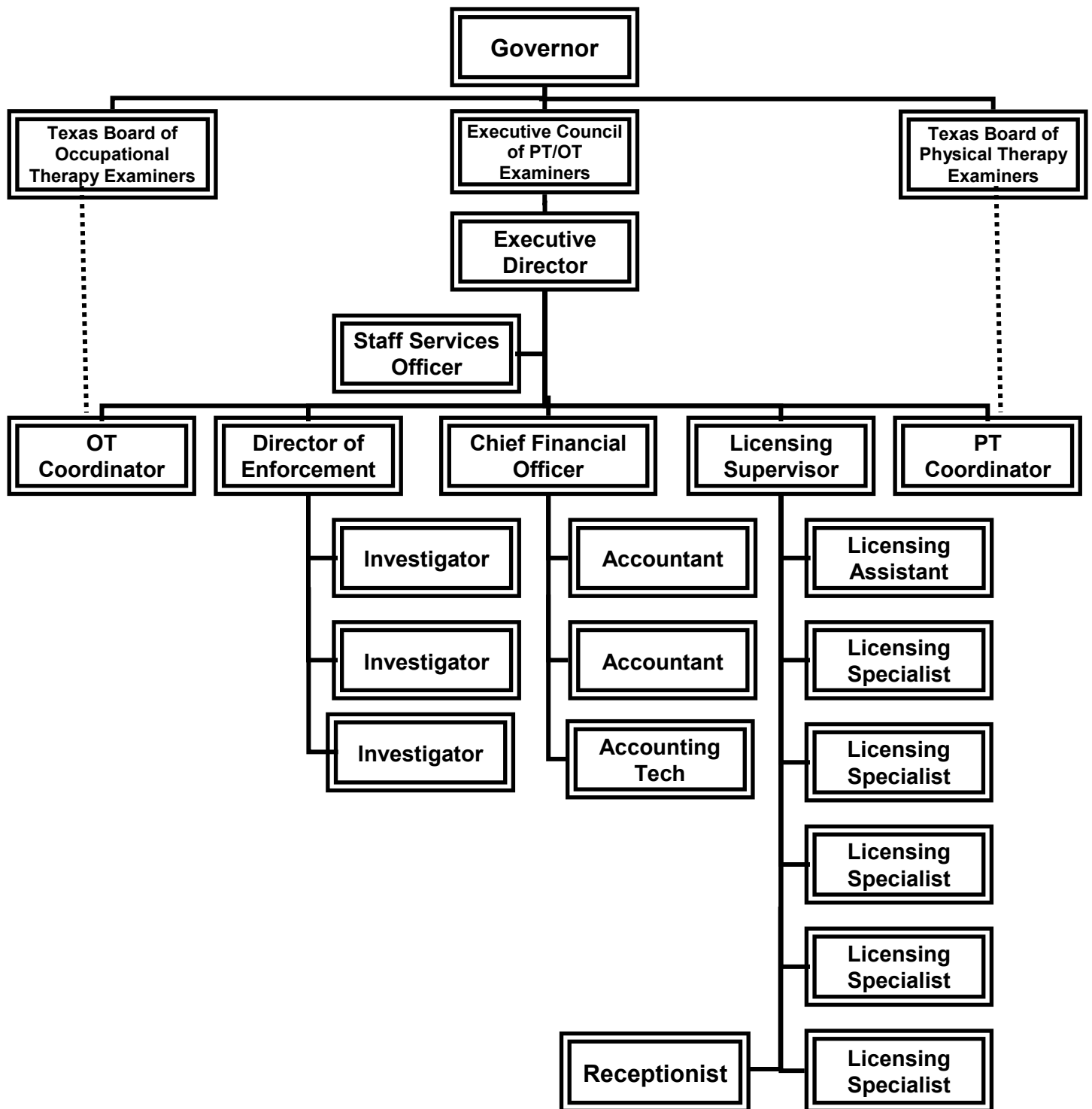
Conclusion:

Texas state regulatory agencies are tasked with the critical mission of licensing our state's skilled professionals while simultaneously protecting the public . ECPTOTE employees are mindful of the state's budgetary restrictions and provide the highest level of customer service possible while operating with often limited resources . The state's population is rapidly growing at a rate of 15.9%, which is more than double the national growth rate average of 7.4%. The cost of living in the Austin metro area has continued to increase significantly, with the city ranking 12th among all U.S. major metro areas for highest cost-of-living increase from 2010 to 2020-- a 17.8% increase. Housing costs rose by 20.7% during that same timeframe and apartment rents increased nearly 30% in 2021 alone. During this time of economic growth and development, state employee salaries have remained stagnant. It is a matter of public safety that state agencies have the resources necessary to equitably compensate employees. Without the necessary staff and state agencies will be unable to adequately fulfill their most important duty, which is public protection.

Ralph Harper
Executive Director
Executive Council of Physical Therapy &
Occupational Therapy Examiners
333 Guadalupe Street
Ste 2-510
Austin, TX 78701

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Executive Council of Physical Therapy and Occupational Therapy Examiners





CERTIFICATE

Agency Name Executive Council of Physical Therapy & Occupational Therapy Examiners

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2022-23 GAA).

Chief Executive Officer or Presiding Judge

A handwritten signature in black ink, appearing to read "Ralph A Harper".

Signature

Ralph A Harper

Printed Name

Executive Director

Title

8-9-2022

Date

Board or Commission Chair

A handwritten signature in blue ink, appearing to read "Manoranjan Mahadeva".

Signature

Manoranjan Mahadeva

Printed Name

Presiding Officer

Title

8-9-2022

Date

Chief Financial Officer

A handwritten signature in black ink, appearing to read "Ebony Holley".

Signature

Ebony Holley

Printed Name

Chief Financial Officer

Title

8-9-2022

Date

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal / Objective / STRATEGY	Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
1 License Physical and Occupational Therapists					
1 <i>Ensure License Standards for PTs and OTs</i>					
1 OPERATE LICENSING SYSTEM	722,204	789,697	749,946	750,880	750,880
2 TEXAS.GOV	163,086	159,600	159,600	177,180	177,180
TOTAL, GOAL 1	\$885,290	\$949,297	\$909,546	\$928,060	\$928,060
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules					
1 <i>Enforce and Adjudicate PT and OT Practice Acts</i>					
1 ADMINISTER ENFORCEMENT	460,476	478,556	478,555	489,663	489,663
TOTAL, GOAL 2	\$460,476	\$478,556	\$478,555	\$489,663	\$489,663
3 Indirect Administration					
1 <i>Indirect Administration</i>					
1 LICENSING INDIRECT ADMINISTRATION	2,241	3,435	3,435	3,245	3,245
2 ENFORCEMENT INDIRECT ADMINISTRATION	1,492	2,288	2,288	2,163	2,163

2.A. Summary of Base Request by Strategy

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal / Objective / STRATEGY	Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
TOTAL, GOAL 3	\$3,733	\$5,723	\$5,723	\$5,408	\$5,408
TOTAL, AGENCY STRATEGY REQUEST	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	1,242,983	1,342,918	1,303,164	1,303,164	1,303,164
SUBTOTAL	\$1,242,983	\$1,342,918	\$1,303,164	\$1,303,164	\$1,303,164
Other Funds:					
666 Appropriated Receipts	106,516	90,658	90,660	119,967	119,967
SUBTOTAL	\$106,516	\$90,658	\$90,660	\$119,967	\$119,967
TOTAL, METHOD OF FINANCING	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING		Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2020-21 GAA)						
		\$1,340,295	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2022-23 GAA)						
		\$0	\$1,342,918	\$1,303,164	\$0	\$0
Regular Appropriations from MOF Table 2024-25						
		\$0	\$0	\$0	\$1,303,164	\$1,303,164
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2020-21 GAA)						
		\$(63,222)	\$0	\$0	\$0	\$0
Comments: lapsed - the agency collected more in Texas.gov fees than anticipated						
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2020-21 GAA)						
		\$19,807	\$0	\$0	\$0	\$0
Comments: excess collected over estimated amount						

2.B. Summary of Base Request by Method of Finance

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING		Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
<u>GENERAL REVENUE</u>						
BASE ADJUSTMENT						
House Bill 2, Eighty-seventh Legislature, Regular Session, 2021						
		\$(53,897)	\$0	\$0	\$0	\$0
Comments: Budget reduced due to 5% cut						
TOTAL,	General Revenue Fund	\$1,242,983	\$1,342,918	\$1,303,164	\$1,303,164	\$1,303,164
TOTAL, ALL	GENERAL REVENUE	\$1,242,983	\$1,342,918	\$1,303,164	\$1,303,164	\$1,303,164
<u>OTHER FUNDS</u>						
666	Appropriated Receipts					
REGULAR APPROPRIATIONS						
Regular Appropriations from MOF Table (2020-2 GAA)						
		\$82,180	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2022-23 GAA)						
		\$0	\$90,658	\$90,660	\$0	\$0
Regular Appropriations from MOF Table 2024-25						

2.B. Summary of Base Request by Method of Finance

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING		Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
<u>OTHER FUNDS</u>						
		\$0	\$0	\$0	\$119,967	\$119,967
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 12.02, Publications or Sales of Records (2020-21 GAA)						
		\$56,226	\$0	\$0	\$0	\$0
Comments: Excess appropriate receipts collected. The agency received more appropriated receipts than anticipated.						
<i>LAPSED APPROPRIATIONS</i>						
Art IX, Sec 12.02, Publications or Sales of Records (2020-21 GAA)						
		\$(31,890)	\$0	\$0	\$0	\$0
Comments: lapsed - agency received more appropriated receipts than anticipated.						
TOTAL,	Appropriated Receipts					
		\$106,516	\$90,658	\$90,660	\$119,967	\$119,967
TOTAL, ALL	OTHER FUNDS					
		\$106,516	\$90,658	\$90,660	\$119,967	\$119,967
GRAND TOTAL		\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131

2.B. Summary of Base Request by Method of Finance

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 533	Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING	Exp 2021	Est 2022	Bud 2023	Req 2024	Req 2025
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	20.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2022-23 GAA)	0.0	20.0	20.0	0.0	0.0
Regular Appropriations from MOF Table 2024-25	0.0	0.0	0.0	20.0	20.0
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	(0.9)	0.0	0.0	0.0	0.0
Comments: vacancies due to transfers and turnover					
TOTAL, ADJUSTED FTES	19.1	20.0	20.0	20.0	20.0
NUMBER OF 100% FEDERALLY FUNDED FTES					

2.C. Summary of Base Request by Object of Expense

8/9/2022 3:15:05PM

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

OBJECT OF EXPENSE	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
1001 SALARIES AND WAGES	\$992,946	\$1,054,263	\$1,054,263	\$1,056,805	\$1,056,805
1002 OTHER PERSONNEL COSTS	\$34,988	\$45,971	\$45,971	\$45,971	\$45,971
2001 PROFESSIONAL FEES AND SERVICES	\$18,571	\$11,243	\$11,243	\$6,490	\$6,490
2003 CONSUMABLE SUPPLIES	\$13,752	\$7,639	\$7,639	\$7,000	\$7,000
2004 UTILITIES	\$6,244	\$8,647	\$8,647	\$7,000	\$7,000
2005 TRAVEL	\$1,702	\$29,718	\$29,718	\$35,000	\$35,000
2006 RENT - BUILDING	\$180	\$450	\$450	\$400	\$400
2009 OTHER OPERATING EXPENSE	\$281,116	\$275,645	\$235,893	\$264,465	\$264,465
OOE Total (Excluding Riders)	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131
OOE Total (Riders)					
Grand Total	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131

2.D. Summary of Base Request Objective Outcomes

8/9/2022 3:15:06PM

88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners					
Goal/ Objective / Outcome	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
1 License Physical and Occupational Therapists 1 Ensure License Standards for PTs and OTs					
KEY 1 Percent of Licensees with No Recent Violations: Physical Therapy	99.00%	99.00%	99.00%	99.00%	99.00%
KEY 2 Percent of Licensees with No Recent Violations: Occupational Therapy	99.00%	99.00%	99.00%	99.00%	99.00%
KEY 3 Percent of Licensees Who Renew Online	94.90%	94.00%	94.00%	94.00%	94.00%
KEY 4 Percent of New Individual Licenses Issued Online	97.43%	95.00%	95.00%	95.00%	95.00%
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules 1 Enforce and Adjudicate PT and OT Practice Acts					
KEY 1 Percent of Complaints Resulting in Disciplinary Action: PT	7.00%	11.00%	11.00%	11.00%	11.00%
KEY 2 Percent of Complaints Resulting in Disciplinary Action: OT	9.00%	15.00%	15.00%	15.00%	15.00%
3 Recidivism Rate for Those Receiving Disciplinary Action: PT	0.00%	0.00%	0.00%	0.00%	0.00%
4 Recidivism Rate for Those Receiving Disciplinary Action: OT	0.00%	0.00%	0.00%	0.00%	0.00%
5 Percent of Documented Complaints Resolved within Six Months: PT	97.80%	95.00%	95.00%	95.00%	95.00%
6 Percent of Documented Complaints Resolved within Six Months: OT	97.33%	90.00%	90.00%	90.00%	90.00%

2.E. Summary of Exceptional Items Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022
TIME : 3:15:06PM

Agency code: 533

Agency name: **Executive Council of Physical Therapy & Occupational Therapy
Examiners**

Priority	Item	2024			2025			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Staff Compensation	\$158,472	\$158,472		\$158,472	\$158,472		\$316,944	\$316,944
2	Enforcement Staffing	\$72,780	\$72,780	1.0	\$72,780	\$72,780	1.0	\$145,560	\$145,560
3	HPC Regulatory Database System	\$224,070	\$224,070		\$64,270	\$64,270		\$288,340	\$288,340
4	DIR Cloud Server	\$28,800	\$28,800	0.0	\$28,800	\$28,800	0.0	\$57,600	\$57,600
Total, Exceptional Items Request		\$484,122	\$484,122	1.0	\$324,322	\$324,322	1.0	\$808,444	\$808,444

Method of Financing

General Revenue	\$484,122	\$484,122		\$324,322	\$324,322		\$808,444	\$808,444
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$484,122	\$484,122		\$324,322	\$324,322		\$808,444	\$808,444

Full Time Equivalent Positions

1.0

1.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/9/2022
TIME : 3:15:06PM

Agency code: 533	Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners					
Goal/Objective/STRATEGY	Base 2024	Base 2025	Exceptional 2024	Exceptional 2025	Total Request 2024	Total Request 2025
1 License Physical and Occupational Therapists						
1 <i>Ensure License Standards for PTs and OTs</i>						
1 OPERATE LICENSING SYSTEM	\$750,880	\$750,880	\$361,107	\$201,307	\$1,111,987	\$952,187
2 TEXAS.GOV	177,180	177,180	0	0	177,180	177,180
TOTAL, GOAL 1	\$928,060	\$928,060	\$361,107	\$201,307	\$1,289,167	\$1,129,367
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules						
1 <i>Enforce and Adjudicate PT and OT Practice Acts</i>						
1 ADMINISTER ENFORCEMENT	489,663	489,663	121,575	121,575	611,238	611,238
TOTAL, GOAL 2	\$489,663	\$489,663	\$121,575	\$121,575	\$611,238	\$611,238
3 Indirect Administration						
1 <i>Indirect Administration</i>						
1 LICENSING INDIRECT ADMINISTRATION	3,245	3,245	864	864	4,109	4,109
2 ENFORCEMENT INDIRECT ADMINISTRATION	2,163	2,163	576	576	2,739	2,739
TOTAL, GOAL 3	\$5,408	\$5,408	\$1,440	\$1,440	\$6,848	\$6,848
TOTAL, AGENCY STRATEGY REQUEST	\$1,423,131	\$1,423,131	\$484,122	\$324,322	\$1,907,253	\$1,747,453
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$1,423,131	\$1,423,131	\$484,122	\$324,322	\$1,907,253	\$1,747,453

2.F. Summary of Total Request by Strategy
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/9/2022
TIME : 3:15:06PM

Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners					
Goal/Objective/STRATEGY		Base 2024	Base 2025	Exceptional 2024	Exceptional 2025	Total Request 2024	Total Request 2025
General Revenue Funds:							
1	General Revenue Fund	\$1,303,164	\$1,303,164	\$484,122	\$324,322	\$1,787,286	\$1,627,486
		\$1,303,164	\$1,303,164	\$484,122	\$324,322	\$1,787,286	\$1,627,486
Other Funds:							
666	Appropriated Receipts	119,967	119,967	0	0	119,967	119,967
		\$119,967	\$119,967	\$0	\$0	\$119,967	\$119,967
TOTAL, METHOD OF FINANCING		\$1,423,131	\$1,423,131	\$484,122	\$324,322	\$1,907,253	\$1,747,453
FULL TIME EQUIVALENT POSITIONS		20.0	20.0	1.0	1.0	21.0	21.0

2.G. Summary of Total Request Objective Outcomes
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/9/2022
Time: 3:15:07PM

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/ Objective / Outcome

		BL 2024	BL 2025	Excp 2024	Excp 2025	Total Request 2024	Total Request 2025
1	License Physical and Occupational Therapists						
1	Ensure License Standards for PTs and OTs						
KEY	1 Percent of Licensees with No Recent Violations: Physical Therapy						
		99.00%	99.00%			99.00%	99.00%
KEY	2 Percent of Licensees with No Recent Violations: Occupational Therapy						
		99.00%	99.00%			99.00%	99.00%
KEY	3 Percent of Licensees Who Renew Online						
		94.00%	94.00%			94.00%	94.00%
KEY	4 Percent of New Individual Licenses Issued Online						
		95.00%	95.00%			95.00%	95.00%
2	Promote Compliance and Enforce PT and OT Practice Acts and Rules						
1	Enforce and Adjudicate PT and OT Practice Acts						
KEY	1 Percent of Complaints Resulting in Disciplinary Action: PT						
		11.00%	11.00%			11.00%	11.00%
KEY	2 Percent of Complaints Resulting in Disciplinary Action: OT						
		15.00%	15.00%			15.00%	15.00%
	3 Recidivism Rate for Those Receiving Disciplinary Action: PT						
		0.00%	0.00%			0.00%	0.00%

2.G. Summary of Total Request Objective Outcomes
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/9/2022
Time: 3:15:07PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/ Objective / Outcome

	BL 2024	BL 2025	Excp 2024	Excp 2025	Total Request 2024	Total Request 2025
4 Recidivism Rate for Those Receiving Disciplinary Action: OT						
	0.00%	0.00%			0.00%	0.00%
5 Percent of Documented Complaints Resolved within Six Months: PT						
	95.00%	95.00%			95.00%	95.00%
6 Percent of Documented Complaints Resolved within Six Months: OT						
	90.00%	90.00%			90.00%	90.00%

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Budget Overview - Biennial Amounts
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Appropriation Years: 2024-25											
	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2022-23	2024-25	2022-23	2024-25	2022-23	2024-25	2022-23	2024-25	2022-23	2024-25	2024-25
Goal: 1. License Physical and Occupational Therapists											
1.1.1. Operate Licensing System	1,467,807	1,323,590					71,836	178,170	1,539,643	1,501,760	562,414
1.1.2. Texas.Gov	319,200	354,360							319,200	354,360	
Total, Goal	1,787,007	1,677,950					71,836	178,170	1,858,843	1,856,120	562,414
Goal: 2. Promote Compliance and Enforce PT and OT Practice Acts and Rules											
2.1.1. Administer Enforcement	849,263	924,768					107,848	54,558	957,111	979,326	243,150
Total, Goal	849,263	924,768					107,848	54,558	957,111	979,326	243,150
Goal: 3. Indirect Administration											
3.1.1. Licensing Indirect Administration	5,888	2,166					982	4,324	6,870	6,490	1,728
3.1.2. Enforcement Indirect Administration	3,924	1,444					652	2,882	4,576	4,326	1,152
Total, Goal	9,812	3,610					1,634	7,206	11,446	10,816	2,880
Total, Agency	2,646,082	2,606,328					181,318	239,934	2,827,400	2,846,262	808,444
Total FTEs									20.0	20.0	1.0

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533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals: Physical Therapy	2,278.00	2,000.00	2,100.00	2,200.00	2,300.00
KEY 2	Number of New Licenses Issued to Individuals: Occupational Therapy	1,567.00	1,300.00	1,325.00	1,350.00	1,375.00
KEY 3	Number of Licenses Renewed (Individuals): Physical Therapy	12,977.00	12,800.00	12,900.00	13,000.00	13,100.00
KEY 4	Number of Licenses Renewed (Individuals): Occupational Therapy	7,386.00	7,350.00	7,450.00	7,500.00	7,550.00
Efficiency Measures:						
1	Average Licensing Cost Per Individual License Issued: PT	35.13	43.50	43.75	44.00	44.00
2	Average Licensing Cost Per Individual License Issued: OT	23.31	24.00	24.25	25.00	25.00
3	Percentage of New Individual Licenses Issued within Ten Days: PT	99.82 %	95.00 %	95.00 %	95.00 %	95.00 %
4	Percentage of New Individual Licenses Issued within Ten Days: OT	99.94 %	95.00 %	95.00 %	95.00 %	95.00 %
5	Percentage of Individual License Renewals Issued within Seven Days: PT	99.99 %	98.00 %	98.00 %	98.00 %	98.00 %

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
	6 Percentage of Individual License Renewals Issued within Seven Days: OT	100.00 %	98.00 %	98.00 %	98.00 %	98.00 %
	7 Average Time for Individual License Issuance: Physical Therapy	1.22	3.00	3.00	3.00	3.00
	8 Average Time for Individual License Issuance: Occupational Therapy	1.10	3.00	3.00	3.00	3.00
	9 Average Time for Individual License Renewal: Physical Therapy	1.01	3.00	3.00	3.00	3.00
	10 Average Time for Individual License Renewal: Occupational Therapy	1.01	3.00	3.00	3.00	3.00
Explanatory/Input Measures:						
	1 Total Number of Individuals Licensed: Physical Therapy	31,001.00	29,500.00	30,000.00	30,500.00	31,000.00
	2 Total Number of Individuals Licensed: Occupational Therapy	18,053.00	17,600.00	17,750.00	17,900.00	18,050.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$592,938	\$639,601	\$639,601	\$621,955	\$621,955
1002	OTHER PERSONNEL COSTS	\$20,041	\$32,522	\$32,522	\$30,355	\$30,355
2001	PROFESSIONAL FEES AND SERVICES	\$16,907	\$7,102	\$7,102	\$4,024	\$4,024
2003	CONSUMABLE SUPPLIES	\$8,693	\$4,736	\$4,736	\$4,340	\$4,340

3.A. Strategy Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

8/9/2022 3:15:07PM

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
2004	UTILITIES	\$3,961	\$5,518	\$5,518	\$4,340	\$4,340
2005	TRAVEL	\$0	\$27,718	\$27,718	\$31,500	\$31,500
2006	RENT - BUILDING	\$113	\$233	\$234	\$248	\$248
2009	OTHER OPERATING EXPENSE	\$79,551	\$72,267	\$32,515	\$54,118	\$54,118
TOTAL, OBJECT OF EXPENSE		\$722,204	\$789,697	\$749,946	\$750,880	\$750,880
Method of Financing:						
1	General Revenue Fund	\$648,591	\$753,780	\$714,027	\$661,795	\$661,795
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$648,591	\$753,780	\$714,027	\$661,795	\$661,795
Method of Financing:						
666	Appropriated Receipts	\$73,613	\$35,917	\$35,919	\$89,085	\$89,085
SUBTOTAL, MOF (OTHER FUNDS)		\$73,613	\$35,917	\$35,919	\$89,085	\$89,085
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$750,880	\$750,880
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$722,204	\$789,697	\$749,946	\$750,880	\$750,880
FULL TIME EQUIVALENT POSITIONS:		15.1	16.0	16.0	16.0	16.0

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL:	1	License Physical and Occupational Therapists	
OBJECTIVE:	1	Ensure License Standards for PTs and OTs	Service Categories:
STRATEGY:	1	Issue and Renew Licenses	Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The 73rd Legislature, Regular Session, created the Executive Council in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act. This legislation merged the administrative functions of the Texas Board of Physical Therapy Examiners and the Texas Advisory Board of Occupational Therapy under the Executive Council, while keeping the rule and decision-making of the two boards intact.

The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT) and occupational therapist assistants (OTA) through licensing and enforcement. Until September 2019, the agency is also tasked to register facilities which provide physical therapy and occupational therapy services.

This strategy allows the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses, by setting clear standards, maintaining compliance, and seeking market-based solutions. The licensing, examination, continuing education, and public information functions of this agency ensures the competency of the professionals providing physical therapy and occupational therapy services to the public.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

In recent years there has been a substantial increase in the demand for physical therapy and occupational therapy services across the nation as well as in Texas, matching the general trend for all health services. This is reflected in the therapist population size. In the last six years though, this growth has slowed down to a steady 3-4% per year increase.

Rising health-care costs, the uncertainty about the future of Medicare/Medicaid reimbursement, and the increase in state requirements and services are the primary economic variables that affect the agency through its impact on the public and therefore its licensees.

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2022 + Bud 2023)</u>	<u>Baseline Request (BL 2024 + BL 2025)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$1,539,643	\$1,501,760	\$(37,883)	\$(37,883)	Decrease from the previous biennium due to staffing adjustments.
			<u>\$(37,883)</u>	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$163,086	\$159,600	\$159,600	\$177,180	\$177,180
TOTAL, OBJECT OF EXPENSE		\$163,086	\$159,600	\$159,600	\$177,180	\$177,180
Method of Financing:						
1	General Revenue Fund	\$163,086	\$159,600	\$159,600	\$177,180	\$177,180
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$163,086	\$159,600	\$159,600	\$177,180	\$177,180
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$177,180	\$177,180
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$163,086	\$159,600	\$159,600	\$177,180	\$177,180

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In accordance with Government Code, Section 2054.252, Texas OnLine Project, the Executive Council of Physical Therapy and Occupational Therapy Examiners is required to participate in a licensee online renewal and application system. All licensees will pay a renewal and/or application subscription fee, collected and managed by the Comptroller, on behalf of NICUSA/Texas OnLine Authority, the ultimate recipient of the fees. Fees are collected by the agency. The agency makes a payment monthly to NICUSA for the previous month's fees.

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
OBJECTIVE: 1 Ensure License Standards for PTs and OTs
STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2022 + Bud 2023)</u>	<u>Baseline Request (BL 2024 + BL 2025)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$319,200	\$354,360	\$35,160	\$35,160	The Texas.gov forecast from DIR increased from the previous biennium.
			\$35,160	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts
STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
Output Measures:						
KEY 1	Number of Complaints Resolved: Physical Therapy	820.00	639.00	644.00	650.00	650.00
KEY 2	Number of Complaints Resolved: Occupational Therapy	525.00	386.00	411.00	420.00	420.00
Efficiency Measures:						
KEY 1	Average Time for Complaint Resolution: Physical Therapy	93.00	105.00	105.00	105.00	105.00
KEY 2	Average Time for Complaint Resolution: Occupational Therapy	90.00	109.00	109.00	109.00	109.00
3	Average Cost Per Complaint Resolved: Physical Therapy	95.55	120.00	120.00	120.00	120.00
4	Average Cost Per Complaint Resolved: Occupational Therapy	95.64	125.00	125.00	125.00	125.00
Explanatory/Input Measures:						
KEY 1	Number of Jurisdictional Complaints Received: Physical Therapy	807.00	707.00	732.00	730.00	730.00
KEY 2	Number of Jurisdictional Complaints Received: Occupational Therapy	472.00	458.00	483.00	490.00	490.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$400,008	\$414,662	\$414,662	\$434,850	\$434,850
1002	OTHER PERSONNEL COSTS	\$14,947	\$13,449	\$13,449	\$15,616	\$15,616

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts
STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
2001	PROFESSIONAL FEES AND SERVICES	\$1,484	\$3,780	\$3,780	\$2,141	\$2,141
2003	CONSUMABLE SUPPLIES	\$4,649	\$2,521	\$2,521	\$2,310	\$2,310
2004	UTILITIES	\$2,121	\$2,937	\$2,937	\$2,310	\$2,310
2005	TRAVEL	\$1,702	\$2,000	\$2,000	\$3,500	\$3,500
2006	RENT - BUILDING	\$61	\$62	\$61	\$132	\$132
2009	OTHER OPERATING EXPENSE	\$35,504	\$39,145	\$39,145	\$28,804	\$28,804
TOTAL, OBJECT OF EXPENSE		\$460,476	\$478,556	\$478,555	\$489,663	\$489,663
Method of Financing:						
1	General Revenue Fund	\$429,959	\$424,632	\$424,631	\$462,384	\$462,384
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$429,959	\$424,632	\$424,631	\$462,384	\$462,384
Method of Financing:						
666	Appropriated Receipts	\$30,517	\$53,924	\$53,924	\$27,279	\$27,279
SUBTOTAL, MOF (OTHER FUNDS)		\$30,517	\$53,924	\$53,924	\$27,279	\$27,279

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts
STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$489,663	\$489,663
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$460,476	\$478,556	\$478,555	\$489,663	\$489,663
FULL TIME EQUIVALENT POSITIONS:		4.0	4.0	4.0	4.0	4.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The Executive Council was created in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act.

The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT), and occupational therapist assistants (OTA) through licensing and enforcement. Additionally, until September 2019, the agency is tasked to register facilities which provide physical therapy and occupational therapy services. The Texas Board of Physical Therapy Examiners' enabling statute is the Texas Physical Therapy Practice Act, Article 4512e, V.T.C.S.. The Texas Board of Occupational Therapy Examiners' enabling statute is the Occupational Therapy Practice Act, Article 8851, V.T.C.S..

This strategy will allow the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses by maintaining compliance. This strategy allows the agency to enforce the practice acts and rules of the Physical Therapy and Occupational Therapy Boards by monitoring the practice of physical therapy and occupational therapy, investigating complaints, and disciplining licensees who violate the practice acts or rules, thereby deterring other violations and safeguarding the public from unqualified practitioners.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts Service Categories:
STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2022 + Bud 2023)	Baseline Request (BL 2024 + BL 2025)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$957,111	\$979,326	\$22,215	\$22,215	Increase from the previous biennium due to staffing adjustments.
			<u>\$22,215</u>	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
OBJECTIVE: 1 Indirect Administration
STRATEGY: 1 Licensing Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
Objects of Expense:						
2001	PROFESSIONAL FEES AND SERVICES	\$108	\$211	\$211	\$195	\$195
2003	CONSUMABLE SUPPLIES	\$246	\$272	\$272	\$210	\$210
2004	UTILITIES	\$97	\$124	\$124	\$210	\$210
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2006	RENT - BUILDING	\$4	\$92	\$92	\$12	\$12
2009	OTHER OPERATING EXPENSE	\$1,786	\$2,736	\$2,736	\$2,618	\$2,618
TOTAL, OBJECT OF EXPENSE		\$2,241	\$3,435	\$3,435	\$3,245	\$3,245
Method of Financing:						
1	General Revenue Fund	\$808	\$2,944	\$2,944	\$1,083	\$1,083
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$808	\$2,944	\$2,944	\$1,083	\$1,083
Method of Financing:						
666	Appropriated Receipts	\$1,433	\$491	\$491	\$2,162	\$2,162
SUBTOTAL, MOF (OTHER FUNDS)		\$1,433	\$491	\$491	\$2,162	\$2,162

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
OBJECTIVE: 1 Indirect Administration
STRATEGY: 1 Licensing Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,245	\$3,245
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,241	\$3,435	\$3,435	\$3,245	\$3,245

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 3%. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2022 + Bud 2023)</u>	<u>Baseline Request (BL 2024 + BL 2025)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$6,870	\$6,490	\$(380)	\$(380)	Decrease due to forecasted cost savings.
			\$(380)	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
OBJECTIVE: 1 Indirect Administration
STRATEGY: 2 Enforcement Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
Objects of Expense:						
2001	PROFESSIONAL FEES AND SERVICES	\$72	\$150	\$150	\$130	\$130
2003	CONSUMABLE SUPPLIES	\$164	\$110	\$110	\$140	\$140
2004	UTILITIES	\$65	\$68	\$68	\$140	\$140
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2006	RENT - BUILDING	\$2	\$63	\$63	\$8	\$8
2009	OTHER OPERATING EXPENSE	\$1,189	\$1,897	\$1,897	\$1,745	\$1,745
TOTAL, OBJECT OF EXPENSE		\$1,492	\$2,288	\$2,288	\$2,163	\$2,163
Method of Financing:						
1	General Revenue Fund	\$539	\$1,962	\$1,962	\$722	\$722
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$539	\$1,962	\$1,962	\$722	\$722
Method of Financing:						
666	Appropriated Receipts	\$953	\$326	\$326	\$1,441	\$1,441
SUBTOTAL, MOF (OTHER FUNDS)		\$953	\$326	\$326	\$1,441	\$1,441

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
OBJECTIVE: 1 Indirect Administration
STRATEGY: 2 Enforcement Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2021	Est 2022	Bud 2023	BL 2024	BL 2025
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,163	\$2,163
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,492	\$2,288	\$2,288	\$2,163	\$2,163

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 2%. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2022 + Bud 2023)</u>	<u>Baseline Request (BL 2024 + BL 2025)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$4,576	\$4,326	\$(250)	\$(250)	Decrease due to forecasted cost savings.
			\$(250)	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131
METHODS OF FINANCE (INCLUDING RIDERS):				\$1,423,131	\$1,423,131
METHODS OF FINANCE (EXCLUDING RIDERS):	\$1,349,499	\$1,433,576	\$1,393,824	\$1,423,131	\$1,423,131
FULL TIME EQUIVALENT POSITIONS:	19.1	20.0	20.0	20.0	20.0

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
<p style="text-align: right;"> Item Name: Staff Compensation Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: </p>			
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	158,472	158,472
	TOTAL, OBJECT OF EXPENSE	\$158,472	\$158,472
METHOD OF FINANCING:			
1	General Revenue Fund	158,472	158,472
	TOTAL, METHOD OF FINANCING	\$158,472	\$158,472

DESCRIPTION / JUSTIFICATION:

The state's population is rapidly growing at a rate of 15.9%, which is more than double the national growth rate average of 7.4%. The cost of living in the Austin metro area has continued to increase significantly, with the city ranking 12th among all U.S. major metro areas for highest cost-of-living increase from 2010 to 2020-- a 17.8% increase. Housing costs rose by 20.7% during that same timeframe and apartment rents increased nearly 30% in 2021 alone.

EXTERNAL/INTERNAL FACTORS:

The ECPTOTE engaged a firm to provide the agency with a current staff compensation analysis on all staff positions. Internal salaries were compared with 50th percentile salaries for equivalent jobs of other State Agencies, Hospitals and Local Government Departments, and Private Sector Practices in the Austin area. Based on this comparison, we project that the ECPTOTE will need an additional \$158,477 per fiscal year to fund future salary increases to remain competitive in hiring and retaining staff who possess the skills that are critical to the agency's ability to operate. To compete in the Central Texas market, the ECPTOTE will need targeted increases in specific job categories to provide competitive compensation, fill vacant positions, and retain current staff.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
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DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

The funds will be used for targeted increases in specific job categories to provide competitive compensation, fill vacant positions, and retain current staff.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2026	2027	2028
\$158,477	\$158,477	\$158,477

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
	Item Name: Enforcement Staffing Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-01-01 Issue and Renew Licenses 02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	72,780	72,780
TOTAL, OBJECT OF EXPENSE		\$72,780	\$72,780
METHOD OF FINANCING:			
1	General Revenue Fund	72,780	72,780
TOTAL, METHOD OF FINANCING		\$72,780	\$72,780
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.00	1.00

DESCRIPTION / JUSTIFICATION:

Over the last two fiscal years, we have seen Jurisdictional Complaints increase 20% in Physical Therapy and 30% increase in Occupational Therapy. In Fiscal year 2020, ECPTOTE received 607 Physical Therapy Jurisdictional complaints and 358 Occupational Therapy jurisdictional complaints. In Fiscal year 2021, Physical Therapy received 807 Jurisdictional Complaints and Occupational Therapy received 472. The growth rate of Physical Therapist and Occupational Therapist population continues to increase about a 3% every year. Changes to State and Federal laws have also contributed to increased caseload in the enforcement department. The ECPTOTE is requesting an additional FTE for an assistant Enforcement Director.

EXTERNAL/INTERNAL FACTORS:

The ECPTOTE is requesting an additional FTE for an Assistant Enforcement Director to provide assistant with the increasing caseload and enforcement activities. The growth rate of Physical Therapist and Occupational Therapist population continues to increase about a 3% every year. We pride ourselves on being as efficient as possible with hardworking, dedicated employees however; ensuring that we do not burn out staff has been a challenging. Overtime has been necessary to keep up with the increasing demand in order to meet and exceed the enforcement performance measures. The additional FTE is necessary to meet the increased demand of enforcement activities.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
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DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

The fund would be used for an additional (1)FTE to meet the increased demand of enforcement activities.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2026	2027	2028
\$72,780	\$72,780	\$72,780

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
	Item Name: IT Upgrade to HPC Regulatory Database System Item Priority: 3 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: Yes Includes Funding for the Following Strategy or Strategies: 01-01-01 Issue and Renew Licenses		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	224,070	64,270
TOTAL, OBJECT OF EXPENSE		\$224,070	\$64,270
METHOD OF FINANCING:			
1	General Revenue Fund	224,070	64,270
TOTAL, METHOD OF FINANCING		\$224,070	\$64,270

DESCRIPTION / JUSTIFICATION:

An individual contractor initially developed the current ECPTOTE licensing system in 2002 with an updated version implemented in 2019. The same contractor with no backup support has provided maintenance of the system. The contractor is now a full time employee of the Health Professions Council and the continued maintenance of the licensing system is through an MOU with the Health Professions Council. Transitioning to this database system, which is utilized by seven other agencies through the Health Professions Council, would provide the following:

1. Customization and streamlining of all aspects of database in one centralized location.
2. Inclusion of support from both onsite HPC staff and vendor allowing for smoother workflow system.
3. System would reside in the cloud ensuring better continuity of operations framework for agency and more robust cybersecurity of data.
4. Inclusion of backend support and public facing interfaces.
5. Inclusion of automated transfers with Federation of State Boards of Physical Therapy

EXTERNAL/INTERNAL FACTORS:

The HPC Regulatory Database system was brought online in 2011. Since then, the system has been upgraded twice and added additional agencies to help realize economies of scale for them. During the 2016 upgrade, the agencies moved from an on premises system located at the DIR Datacenter to a cloud environment. This change has enabled the system to maintain a 98% up and running time, allowed for more dynamic load balancing during high periods of use, and implemented a backup plan that adheres to the most stringent best practices. The system has proved expandable and customizable. Since the implementation of the system, the Health Professions Council has added three agencies, the Behavioral Health Executive Council, the Texas Funeral Service Commission and the Board of Professional Geoscientists. The HPC Shared Regulatory Database is a customized off the shelf system that currently represents over a

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024						Excp 2025
million licensee’s data								
PCLS TRACKING KEY:								
N/A								
DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:								
FY 2024:								
a. Licensing and Support \$64,270								
b. Project Management \$159,800								
Total Request \$224,070								
IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?								
NEW								
STATUS:								
Status: Requesting exceptional item for funding for project.								
OUTCOMES:								
Economies of scale by joining a customizable and upgradable system at a cost effective rate.								
OUTPUTS:								
Economies of scale, continuity of operations, backend support								
TYPE OF PROJECT								
Licensing / Permitting / Monitoring / Enforcement								
ALTERNATIVE ANALYSIS								
If the project is not funded the agency would remain on the legacy system.								
ESTIMATED IT COST								
2022	2023	2024	2025	2026	2027	2028	Total Over Life of Project	
\$0	\$0	\$252,270	\$0	\$0	\$0	\$0	\$252,270	
SCALABILITY								
2022	2023	2024	2025	2026	2027	2028	Total Over Life of Project	
\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION							Excp 2024	Excp 2025
FTE									
		2022	2023	2024	2025	2026	2027	2028	
		0.0	0.0	0.0	0.0	0.0	0.0	0.0	

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Funding for the annual licensing and support cost. \$64,270

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

	2026	2027	2028
	\$64,270	\$64,270	\$64,270

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 100.00%

CONTRACT DESCRIPTION :

The MOU will be with the Health Professions Council

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
	Item Name: DIR Cloud Server Item Priority: 4 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
	03-01-01 Licensing Indirect Administration		
	03-01-02 Enforcement Indirect Administration		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	28,800	28,800
	TOTAL, OBJECT OF EXPENSE	\$28,800	\$28,800
METHOD OF FINANCING:			
1	General Revenue Fund	28,800	28,800
	TOTAL, METHOD OF FINANCING	\$28,800	\$28,800

DESCRIPTION / JUSTIFICATION:

Utilizing the DIR Cloud Server will ensure better continuity of operations and robust cybersecurity of data.

EXTERNAL/INTERNAL FACTORS:

ECPTOTE move to the new Bush state office building. The Texas Facilities Commission requested that our agency move as much information technology infrastructure to the cloud. This cloud not be done prior to the move. With the assistance of the Health Professions Council and relocation being complete, we are now able to proceed with this project.

PCLS TRACKING KEY:

N/A

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

I.T. Expenses to utilize the DIR Cloud Server.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

4.A. Exceptional Item Request Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/9/2022**
TIME: **3:15:16PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2024	Excp 2025
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STATUS:

Status: Awaiting directives from DIR.

OUTCOMES:

This utilization will allow ECPTOTE to increase security, and improve COOP planning

OUTPUTS:

Better continuity of operations and economies of scale

TYPE OF PROJECT

Cloud Computing

ALTERNATIVE ANALYSIS

Currently ECPTOTE Servers are housed at the Bush building. IF the exceptional item is not funded the agency will continue operating with the physical server located at the Bush building.

ESTIMATED IT COST

2022	2023	2024	2025	2026	2027	2028	Total Over Life of Project
\$0	\$0	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$144,000

FTE

2022	2023	2024	2025	2026	2027	2028
0.0	0.0	0.0	0.0	0.0	0.0	0.0

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

The estimated yearly cost for DIR cloud service

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2026	2027	2028
\$28,800	\$28,800	\$28,800

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	Staff Compensation					
Allocation to Strategy:	1-1-1	Issue and Renew Licenses				
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES				93,708	93,708
TOTAL, OBJECT OF EXPENSE					\$93,708	\$93,708
METHOD OF FINANCING:						
1	General Revenue Fund				93,708	93,708
TOTAL, METHOD OF FINANCING					\$93,708	\$93,708

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:		Staff Compensation				
Allocation to Strategy:		2-1-1	Enforce the Physical Therapy and Occupational Therapy Practice Acts			
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES		64,764			64,764
TOTAL, OBJECT OF EXPENSE			\$64,764			\$64,764
METHOD OF FINANCING:						
1	General Revenue Fund		64,764			64,764
TOTAL, METHOD OF FINANCING			\$64,764			\$64,764

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	Enforcement Staffing					
Allocation to Strategy:	1-1-1	Issue and Renew Licenses				
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES				25,473	25,473
TOTAL, OBJECT OF EXPENSE					\$25,473	\$25,473
METHOD OF FINANCING:						
1	General Revenue Fund				25,473	25,473
TOTAL, METHOD OF FINANCING					\$25,473	\$25,473
FULL-TIME EQUIVALENT POSITIONS (FTE):					0.0	0.0

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:		Enforcement Staffing				
Allocation to Strategy:		2-1-1	Enforce the Physical Therapy and Occupational Therapy Practice Acts			
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES		47,307			47,307
TOTAL, OBJECT OF EXPENSE			\$47,307			\$47,307
METHOD OF FINANCING:						
1	General Revenue Fund		47,307			47,307
TOTAL, METHOD OF FINANCING			\$47,307			\$47,307
FULL-TIME EQUIVALENT POSITIONS (FTE):			1.0			1.0

4.B. Exceptional Items Strategy Allocation Schedule

88th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022

TIME: 3:15:17PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Code	Description	Excp 2024	Excp 2025
Item Name:		IT Upgrade to HPC Regulatory Database System	
Allocation to Strategy:		1-1-1	Issue and Renew Licenses
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	224,070	64,270
TOTAL, OBJECT OF EXPENSE		\$224,070	\$64,270
METHOD OF FINANCING:			
1	General Revenue Fund	224,070	64,270
TOTAL, METHOD OF FINANCING		\$224,070	\$64,270

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	DIR Cloud Server					
Allocation to Strategy:	1-1-1	Issue and Renew Licenses				
OBJECTS OF EXPENSE:						
2009	OTHER OPERATING EXPENSE				17,856	17,856
TOTAL, OBJECT OF EXPENSE					\$17,856	\$17,856
METHOD OF FINANCING:						
1	General Revenue Fund				17,856	17,856
TOTAL, METHOD OF FINANCING					\$17,856	\$17,856
FULL-TIME EQUIVALENT POSITIONS (FTE):					0.0	0.0

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	DIR Cloud Server					
Allocation to Strategy:	2-1-1	Enforce the Physical Therapy and Occupational Therapy Practice Acts				
OBJECTS OF EXPENSE:						
2009	OTHER OPERATING EXPENSE				9,504	9,504
TOTAL, OBJECT OF EXPENSE					\$9,504	\$9,504
METHOD OF FINANCING:						
1	General Revenue Fund				9,504	9,504
TOTAL, METHOD OF FINANCING					\$9,504	\$9,504
FULL-TIME EQUIVALENT POSITIONS (FTE):					0.0	0.0

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	DIR Cloud Server					
Allocation to Strategy:	3-1-1	Licensing Indirect Administration				
OBJECTS OF EXPENSE:						
2009	OTHER OPERATING EXPENSE				864	864
TOTAL, OBJECT OF EXPENSE					\$864	\$864
METHOD OF FINANCING:						
1	General Revenue Fund				864	864
TOTAL, METHOD OF FINANCING					\$864	\$864
FULL-TIME EQUIVALENT POSITIONS (FTE):					0.0	0.0

Agency code:	533	Agency name:	Executive Council of Physical Therapy & Occupational Therapy Examiners			
Code	Description				Excp 2024	Excp 2025
Item Name:	DIR Cloud Server					
Allocation to Strategy:	3-1-2	Enforcement Indirect Administration				
OBJECTS OF EXPENSE:						
2009	OTHER OPERATING EXPENSE				576	576
TOTAL, OBJECT OF EXPENSE					\$576	\$576
METHOD OF FINANCING:						
1	General Revenue Fund				576	576
TOTAL, METHOD OF FINANCING					\$576	\$576
FULL-TIME EQUIVALENT POSITIONS (FTE):					0.0	0.0

4.C. Exceptional Items Strategy Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022
TIME: 3:15:17PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 1 License Physical and Occupational Therapists

OBJECTIVE: 1 Ensure License Standards for PTs and OTs

STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2024	Excp 2025
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OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	119,181	119,181
2009	OTHER OPERATING EXPENSE	241,926	82,126
Total, Objects of Expense		\$361,107	\$201,307

METHOD OF FINANCING:

1	General Revenue Fund	361,107	201,307
Total, Method of Finance		\$361,107	\$201,307

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Staff Compensation

Enforcement Staffing

IT Upgrade to HPC Regulatory Database System

DIR Cloud Server

4.C. Exceptional Items Strategy Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022
TIME: 3:15:17PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules

OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts

Service Categories:

STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2024	Excp 2025
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OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	112,071	112,071
2009	OTHER OPERATING EXPENSE	9,504	9,504
Total, Objects of Expense		\$121,575	\$121,575

METHOD OF FINANCING:

1	General Revenue Fund	121,575	121,575
Total, Method of Finance		\$121,575	\$121,575

FULL-TIME EQUIVALENT POSITIONS (FTE):	1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Staff Compensation

Enforcement Staffing

DIR Cloud Server

4.C. Exceptional Items Strategy Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022
TIME: 3:15:17PM

Agency Code: 533	Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners
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GOAL: 3 Indirect Administration	Service Categories:
OBJECTIVE: 1 Indirect Administration	Service: 09 Income: A.2 Age: B.3
STRATEGY: 1 Licensing Indirect Administration	

CODE DESCRIPTION	Excp 2024	Excp 2025
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OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	864	864
Total, Objects of Expense	<hr/> \$864	<hr/> \$864

METHOD OF FINANCING:

1 General Revenue Fund	864	864
Total, Method of Finance	<hr/> \$864	<hr/> \$864

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

DIR Cloud Server

4.C. Exceptional Items Strategy Request
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/9/2022
TIME: 3:15:17PM

Agency Code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Enforcement Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2024	Excp 2025
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OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE

576

576

Total, Objects of Expense

\$576

\$576

METHOD OF FINANCING:

1 General Revenue Fund

576

576

Total, Method of Finance

\$576

\$576

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

DIR Cloud Server

General Revenue (GR) & General Revenue Dedicated (GR-D) Baseline

DATE: 8/9/2022

88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 3:15:04PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

GR Baseline Request Limit = \$2,606,328

GR-D Baseline Request Limit = \$0

Strategy/Strategy Option/Rider										Page #
2024 Funds				2025 Funds				Biennial Cumulative GR	Biennial Cumulative Ded	
FTEs	Total	GR	Ded	FTEs	Total	GR	Ded			
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
16.0	750,880	661,795	0	16.0	750,880	661,795	0	1,323,590	0	_____
Strategy: 1 - 1 - 2	Texas.gov. Estimated and Nontransferable									
0.0	177,180	177,180	0	0.0	177,180	177,180	0	1,677,950	0	_____
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts									
4.0	489,663	462,384	0	4.0	489,663	462,384	0	2,602,718	0	_____
Strategy: 3 - 1 - 1	Licensing Indirect Administration									
0.0	3,245	1,083	0	0.0	3,245	1,083	0	2,604,884	0	_____
Strategy: 3 - 1 - 2	Enforcement Indirect Administration									
0.0	2,163	722	0	0.0	2,163	722	0	2,606,328	0	_____
20.0				20.0				*****GR Baseline Request Limit=\$2,606,328*****		
Excp Item: 1	Staff Compensation									
0.0	158,472	158,472	0	0.0	158,472	158,472	0	2,923,272	0	_____
Strategy Detail for Excp Item: 1										
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
0.0	93,708	93,708	0	0.0	93,708	93,708	0			
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts									
0.0	64,764	64,764	0	0.0	64,764	64,764	0			
Excp Item: 2	Enforcement Staffing									
1.0	72,780	72,780	0	1.0	72,780	72,780	0	3,068,832	0	_____

General Revenue (GR) & General Revenue Dedicated (GR-D) Baseline

DATE: 8/9/2022

88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 3:15:04PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

GR Baseline Request Limit = \$2,606,328

GR-D Baseline Request Limit = \$0

Strategy/Strategy Option/Rider				GR-D Baseline Request Limit = \$0						Page #
2024 Funds				2025 Funds				Biennial	Biennial	
FTEs	Total	GR	Ded	FTEs	Total	GR	Ded	Cumulative GR	Cumulative Ded	
Strategy Detail for Excp Item: 2										
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
0.0	25,473	25,473	0	0.0	25,473	25,473	0			
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts									
1.0	47,307	47,307	0	1.0	47,307	47,307	0			
Excp Item: 3	IT Upgrade to HPC Regulatory Database System									
0.0	224,070	224,070	0	0.0	64,270	64,270	0	3,357,172	0	
Strategy Detail for Excp Item: 3										
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
0.0	224,070	224,070	0	0.0	64,270	64,270	0			
Excp Item: 4	DIR Cloud Server									
0.0	28,800	28,800	0	0.0	28,800	28,800	0	3,414,772	0	
Strategy Detail for Excp Item: 4										
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
0.0	17,856	17,856	0	0.0	17,856	17,856	0			
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts									
0.0	9,504	9,504	0	0.0	9,504	9,504	0			
Strategy: 3 - 1 - 1	Licensing Indirect Administration									
0.0	864	864	0	0.0	864	864	0			
Strategy: 3 - 1 - 2	Enforcement Indirect Administration									
0.0	576	576	0	0.0	576	576	0			
21.0	\$1,907,253	\$1,787,286	\$0	21.0	\$1,747,453	\$1,627,486	0			

6.A. Historically Underutilized Business Supporting Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/9/2022**
Time: **3:15:17PM**

Agency Code: **533** Agency: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year - HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2020			Total Expenditures		HUB Expenditures FY 2021			Total Expenditures	
			% Actual	Diff	Actual \$	FY 2020	% Goal	% Actual	Diff	Actual \$	FY 2021	
11.2%	Heavy Construction	11.2 %	0.0%	-11.2%	\$0	\$0	11.2 %	0.0%	-11.2%	\$0	\$0	
21.1%	Building Construction	21.1 %	0.0%	-21.1%	\$0	\$0	21.1 %	0.0%	-21.1%	\$0	\$0	
32.9%	Special Trade	32.9 %	0.0%	-32.9%	\$0	\$0	32.9 %	0.0%	-32.9%	\$0	\$0	
23.7%	Professional Services	23.7 %	0.0%	-23.7%	\$0	\$0	23.7 %	0.0%	-23.7%	\$0	\$0	
26.0%	Other Services	26.0 %	25.5%	-0.5%	\$3,956	\$15,486	26.0 %	31.1%	5.1%	\$5,006	\$16,071	
21.1%	Commodities	24.1 %	67.3%	43.2%	\$19,431	\$28,855	21.1 %	43.4%	22.3%	\$15,266	\$35,144	
	Total Expenditures		52.7%		\$23,387	\$44,341		39.6%		\$20,272	\$51,215	

B. Assessment of Attainment of HUB Procurement Goals

Attainment:

The agency exceeded 50% of the applicable statewide and agency HUB procurement goals in FY 2020.

The agency exceeded 100% of the applicable statewide and agency HUB procurement goals in FY 2021.

Applicability:

The "Heavy Construction", Building Construction", "Special Trade Construction", and "Professional Services" categories are not applicable to agency operation in either FY 2020 or 2021 since the agency did not have any strategies or programs related to construction.

Factors Affecting Attainment:

The agency met and exceeded the goal of "Commodities" and Other Services" in FY 2020. Goals for both Procurement Categories were exceeded even more substantially in 2019. The agency contracts with and utilizes HUB vendors when available.

C. Good-Faith Efforts to Increase HUB Participation

Outreach Efforts and Mentor-Protégé Programs:

HUB Program Staffing:

As the Executive Director of ECPTOTE, I champion the use of HUB's for our procurement of goods and services. The ECPTOTE are authorized for 20 FTE's. The Staff Service Officer handles purchasing for the agency and I oversee the HUB Program .

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Current and Future Good-Faith Efforts:

The agency made the following "good-faith efforts to comply with the statewide HUB procurement procedures as stated by 34 TAC, § 20.13(d):

- .ensured that contract specifications, terms, and conditions reflected the agency's actual requirements, were clearly stated, and did not impose unreasonable or unnecessary contract requirements.
- .provided potential bidders with a list of certified HUBs for subcontracting, and
- .prepared and distributed information on procurement procedures in a manner that encouraged participation in agency contract by all business.

6.E. Estimated Revenue Collections Supporting Schedule
88th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

FUND/ACCOUNT	Act 2021	Exp 2022	Est 2023	Est 2024	Est 2025
<u>1</u> General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3562 Health Related Profession Fees	5,339,323	5,074,533	5,176,023	5,279,543	5,385,134
Subtotal: Actual/Estimated Revenue	5,339,323	5,074,533	5,176,023	5,279,543	5,385,134
Total Available	\$5,339,323	\$5,074,533	\$5,176,023	\$5,279,543	\$5,385,134
DEDUCTIONS:					
Expended/ Budgeted/Requested	(1,349,499)	(1,433,576)	(1,393,824)	(1,423,131)	(1,423,131)
Transfer-Employee Benefits (OASI & ERS)	(326,133)	(435,034)	(439,990)	(441,170)	(441,170)
Total, Deductions	\$(1,675,632)	\$(1,868,610)	\$(1,833,814)	\$(1,864,301)	\$(1,864,301)
Ending Fund/Account Balance	\$3,663,691	\$3,205,923	\$3,342,209	\$3,415,242	\$3,520,833

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Ebony Holley

6.E. Estimated Revenue Collections Supporting Schedule
88th Regular Session, Agency Submission, Version 1
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Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

FUND/ACCOUNT	Act 2021	Exp 2022	Est 2023	Est 2024	Est 2025
<u>666</u> Appropriated Receipts					
Beginning Balance (Unencumbered):	\$106,516	\$90,658	\$90,660	\$119,967	\$119,967
Estimated Revenue:					
DEDUCTIONS:					
Expended/ Budgeted/Requested	(106,516)	(90,658)	(90,660)	(119,967)	(119,967)
 Total, Deductions	\$(106,516)	\$(90,658)	\$(90,660)	\$(119,967)	\$(119,967)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Ebony Holley